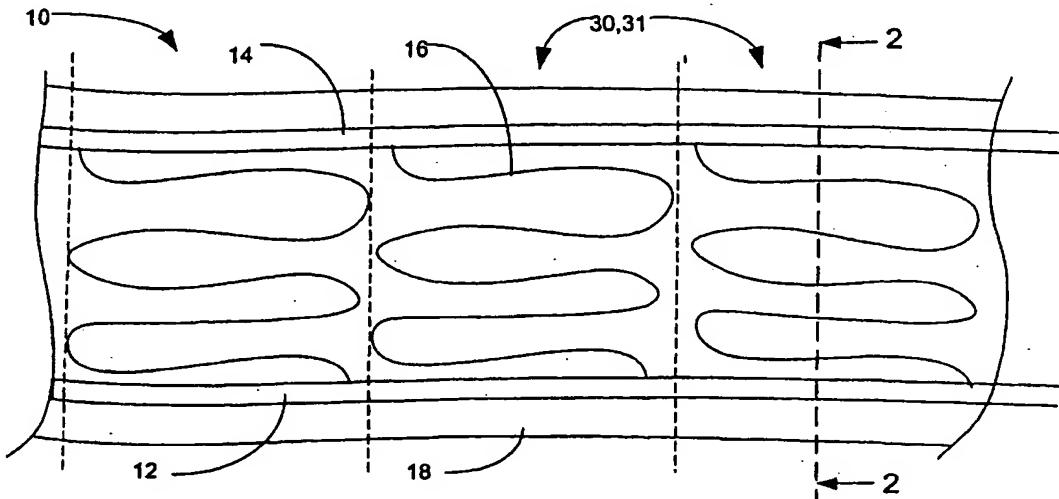




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| <p>(21) International Application Number: PCT/US00/13164</p> <p>(22) International Filing Date: 12 May 2000 (12.05.00)</p> <p>(30) Priority Data:<br/>60/134,111 14 May 1999 (14.05.99) US</p> <p>(71) Applicant (<i>for all designated States except US</i>): ASUK TECHNOLOGIES, LLC [US/US]; 703 Castro Street #5, San Leandro, CA 94577-3542 (US).</p> <p>(72) Inventor; and<br/>           (75) Inventor/Applicant (<i>for US only</i>): SOPORY, Umesh [US/US]; 38735 Adcock Drive, Fremont, CA 94536 (US).</p> <p>(74) Agent: GUERNSEY, Larry, B.; Hickman Stephens Coleman &amp; Hughes LLP, P.O. Box 52037, Palo Alto, CA 94303 (US).</p> |  | <p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b><br/> <i>With international search report.</i><br/> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> |   |

(54) Title: ELECTRICAL HEATING DEVICES AND RESETTABLE FUSES



## (57) Abstract

A heating element (10) having a first bus wire (12) and a second bus wire (14) and a plurality of flexible heating wires (16) which are connected between the first and second bus wires (12, 14), the flexible heating wires (16) being contained within parallel zones (30), to make up modules (31), which may be attached together or cut to length so that the overall length of the heating element (10) may be varied thereby. The heating element may be self-regulating. Also a self-regulating heating element (60) having a plurality of PTC heating elements (62) and at least one conductive pathway (68) which is interposed between two of the PTC heating elements, and forming a series circuit between said first and second bus wires (12, 14). Also a self-regulating heating device (100) having first and second layers (104, 106) of material, at least one of which is PTC material interposed between a first electrode (102) and a second electrode (108). Also a resettable fuse (120), which provides voltage spike protection for an element to be protected, having an element of Voltage Sensitive Material (124) which is in parallel with the element to be protected.

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## ELECTRICAL HEATING DEVICES AND RESETTABLE FUSES

This application claims priority from U.S. Provisional Application Serial No. 60/134,111, filed 5/14/99, which has the same inventor as the present  
5 invention.

### TECHNICAL FIELD

The present invention relates generally to heating devices and resettable  
10 fuses, and more particularly to heaters which are flexible and fuse devices  
which use positive temperature coefficient (PTC), negative temperature  
coefficient (NTC) material, and/or Voltage Sensitive Material (VSM) to provide  
current and voltage protection for a circuit or device.

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### BACKGROUND ART

There have been prior attempts to make flexible self-regulating heating  
elements. U.S. Pat. No. 4,668,857 to Smuckler, U.S. Pat. No. 4,503,322 to  
Kisimoto, U.S. Pat. No. 5,558,794 to Jansens, U.S. Pat. No. 4,742,212 to Ishi,  
20 U.S. Pat. No. 4,661,690 to Yamamoto and U.S. Pat. No. 4,200,973 to Farkas  
disclose various types of heaters in the form of cables. Some, such as the  
embodiment pictured in Fig. 1 of Smuckler have a side-by-side construction  
which will not be equally flexible in all directions. Additionally, the heaters  
which use PTC materials as a self-regulating device, generally must be designed  
25 differently to work with 120 volt line voltages than with 240 volt line voltages.  
There is a need for a heater cable which can be used with both such power  
supplies.

Self regulating heaters have also been formed into sheets in such patents  
as U.S. Pat. No. 4,777,351 to Batliwalla, U.S. Pat. No. 4,700,054 to Triplett,  
30 and U.S. Pat. No. 5,422,462 to Kishimoto. In these patents, the heating

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elements are configured as sheets, or as fabrics, which have interdigitized or interleaved electrodes between which elements of PTC are positioned. This allows the use generally of a limited range of voltages, generally 120 Volts, and thus a limited amount of heat production. There are some heaters which may 5 operate at as much as 480 Volts, these are generally three input, three phase systems, but to the inventor's knowledge, there is no heater system which can be operated at 480 Volts with a two input bus system.

There are many applications in which it is desirable to wrap irregular objects, such as pipeline valves with heating devices. Many of these 10 applications also require much flexibility in the amount and shape of the heater material used. For this reason, it is highly desirable that self-regulating heaters be modular in design, so that specific lengths of heater material may be joined together to make greater lengths, and also desirable that the lengths be capable of being trimmed to shorter lengths, without of course losing power or heating 15 capacity. Of course, the most preferred example of this flexibility in length choice would be if the material is capable of being trimmed to any length within a modular section, that is, it is continuously variable. Next best is a material which contains certain defined zones for the heating elements, and the material may be trimmed in between any of these heating zones. This allows the length 20 to be varied in multiples of these zone lengths, and these can be referred to as incrementally variable in length.

There have been several attempts at creating modular heaters which are self-regulating. U.S. Pat. No. 4,638,150 to Whitney, and U.S. Pat. No. 4,072,848 to Johnson show heaters which have self-regulating elements and 25 which may be considered modular. These heating modules are generally rigid, and if they are trimmable at all, they would certainly be only incrementally variable. As the elements are generally not flexible, their application is thus expected to be limited.

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PTC elements have also been used as resettable fuses in U.S. Pat. Nos. 5,796,569, and 5,818,676 to Gronowicsz, U.S. Pat. No. 5,682,130 to Styra, U.S. Pat. No. 5,801,914 to Thrash, and U.S. Pat. No. 5,495,383 to Yoshioka. These fuses will protect the circuit from current which is too high, but will 5 provide little protection for voltage spikes, for which the response time of PTC may be too slow. Thus there is a need for a resettable fuse which can protect a circuit from voltage spikes.

#### DISCLOSURE OF INVENTION

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Accordingly, it is an object of the present invention to provide modular or long length heaters which operate at high temperatures, and which are flexible to conform to shapes of irregular objects and can be made to wrap around pipes.

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Another object of the invention is to provide modular heaters which can connectable to any length or interconnected.

And, another object of the invention is to provide modular heaters which can be trimmed at any heater zone boundary to length.

A further object of the invention is to provide self-regulating modular heaters which are flexible and can wrap around valves, pipes and small vessels.

20

An additional object of the present invention is to provide heater fabricated with a layer of PTC material on etched foil, which can be made in a modular or non-modular configuration.

A yet further object of the present invention is to provide heaters which are either self-limiting, or which provide built-in safety protection.

25

A still further object of the present invention is to provide resettable fuse elements fabricated from a single layer of PTC or combination of PTC and NTC or ZTC and /or VSM material for use in protection of electrical circuits.

Briefly, a first preferred embodiment of the present invention is a very flexible high temperature modular heater, which can be cut to desired length.

A second preferred embodiment of the present invention is a highly flexible self-regulating modular heater, which can be trimmed to desired length.

A third preferred embodiment of the present invention is a heater device in which PTC, ZTC, NTC or a combination thereof material has been laminated onto an etched foil layer. This can be made in modules, or in a continuous strip.

A fourth preferred embodiment of the present invention is a coaxial heater cable, which preferably uses two layers of PTC material concentrically positioned between two electrodes.

A fifth preferred embodiment of the present invention is a resettable fuse which utilizes a single layer of PTC material deposited on a substrate. It may also use VSM to provide voltage spike protection for the circuit to be protected.

An advantage of the present high temperature modular heater is that it can be used at very high temperatures to keep materials such as sulfur and asphalt flowing in supply pipes.

Another advantage of the high temperature modular heater is that it is very flexible, can fit around irregular fittings and valves, can be attached together in modular lengths and can be cut to almost any desired length.

And, another advantage of self regulating modular heaters is that they also are very flexible, can wrap around small diameter pipes, and can also be used with low voltage power supplies, so that they can be powered, for instance, by batteries.

A further advantage of etched foil heaters is that they can be used at high voltages by dividing the voltage among a string of heater elements connected in series between two supply buses, and the string of elements can be repeated in parallel with each other to provide heater zones.

Yet another advantage of the coaxial cable heater is that it can be used for dual voltage supply purposes, such as 120 volt and 240 volt supplies, thus eliminating the need for two separate product lines for each separate power

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supply range.

A yet further advantage of the resettable fuse is that it can be fabricated with a single layer of PTC material, and can be used with VSM elements in circuit board fabrication.

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These and other objects and advantages of the present invention will become clear to those skilled in the art in view of the description of the best presently known mode of carrying out the invention and the industrial applicability of the preferred embodiment as described herein and as illustrated 10 in the several figures of the drawings.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

The purposes and advantages of the present invention will be apparent 15 from the following detailed description in conjunction with the appended drawings in which:

FIG. 1 illustrates a top plan view of a section of a high temperature modular or long length heater of the present invention, showing three heating zones;

20 FIG. 2 shows a cross-sectional view of a heater module of the present invention taken through line 2-2 in Fig. 1; and,

FIG. 3 illustrates a perspective view of the method of installation of three modular heaters of the present invention on a pipe and valve, showing a specialized valve fitting, as one of the modules;

25 FIG. 4 shows one section of an etched foil heating strip of the present invention with any top insulating cover removed;

FIG. 5 illustrates a cross-sectional view of the etched foil heater of Fig. 4 as taken through line 5-5;

FIG. 6 shows a coaxial heater cable of the present invention in a

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perspective view;

FIG. 7 illustrates a graph of Resistance vs. Temperature for PTC heaters; and

FIG. 8 shows a circuit schematic of a circuit using a resettable fuse with  
5 voltage spike protection.

### BEST MODE FOR CARRYING OUT THE INVENTION

A first preferred embodiment of the present invention is a high  
10 temperature modular heater. As illustrated in the various drawings herein, and particularly in the view of Fig. 1, a form of this preferred embodiment of the inventive device is depicted by the general reference character 10.

There are many applications in which materials must be maintained at high-temperature in the range of 500-600 degrees F. Such applications include  
15 maintaining asphalt and sulfur in a liquid state. If these materials can be kept in a molten state, they can be made to flow through pipes, thus easily conveying them to a site of usage. A difficulty encountered when piping these materials, however, is the heat loss experienced when the material is forced to flow through unheated pipes. Heat loss can be great from these pipes, causing the  
20 material to solidify and block material flow. A common industry technique is to provide heater strips which heat a portion of the pipe sufficiently that material flow is maintained. Attempts have been made to make heaters which may wrap around a pipe to give more uniform heating, however most heaters which are capable of reaching the appropriate temperature range are generally not very  
25 flexible. The few heaters manufactured which are flexible enough to wrap pipes generally do so by being wound in a helix or "S" pattern. This is an improvement over a rigid strip, but the heat applied is still far from uniform, and there are inevitably cold spots in which cooler material tends to accumulate and

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slow material flow. The problem is especially acute in the area of valves, which are generally complicated enough in shape that no attempt is made to wrap them with heater wires. Material is especially prone to freezing at these points, which not only interferes with material flow, but prevents the valves from operating  
5 correctly so that control of flow is hampered or lost.

The first embodiment of the present invention provides high temperature heaters which are very flexible, which can be manufactured in a series of modules which can be connected together to cover nearly any length of pipe, and which can also be trimmed to length and the trimmed end sealed to further  
10 accommodate any intermediate length of pipe.

FIG. 1 illustrates the major components of the modular high-temperature heater 10, but with an outer jacket removed. There are a first bus wire 12 and a second bus wire 14 with a plurality of heating wires 16 winding in a serpentine pattern to connect in parallel between the two bus wires. The bus wires 12, 14  
15 are preferably of 14 AWG nickel-copper stranded flat bus wire and the heating wire 16 is preferably a nickel alloy such as Inconel or Nichrome which can be very narrow gage, in the .003-.005 inch range, although the invention is by no means limited to these materials or dimensions. Nickel alloy has been chosen for this embodiment, because it can be heated to temperatures of up to 1200° F,  
20 and has excellent flexibility, especially at narrow gages. These wires are positioned on a substrate 18, preferably of mica and glass.

Referring now also to FIG. 2, which is a cross-sectional view of a modular heater 10, but now also showing the outer insulating jacket 24, which had been removed from the previous view. No attempt has been made to draw  
25 the elements to scale. The heating wires 16 are sewn or positioned on a substrate 18 of mica 20 and glass 22, which is then sewn into a jacket 24 preferably also composed of layers of glass 26 and mica 28. The heating wires 16 form a number of parallel circuits with the supply buses 12, 14, which effectively

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divide the total length into zones 30, each zone being a module 31, three of which are shown in the figure. Since these zones are electrically parallel to each other, the modular heater 10 can be cut at any zone boundary, and the uncut length will still function. It is desirable to seal the end to prevent moisture and  
5 corrosion from entering at the cut end, and to electrically insulate the exposed end, but this is not an absolute requirement. Thus the modular heater 10 can be cut to the length of any multiple of the zone lengths. The presently preferred length of a zone is 1.5 feet, but this is of course subject to much variation, and can be easily customized for particular applications. The insulation jackets may  
10 vary, having, for instance, multiple layers of glass 26 and mica 28, depending on the application and voltage applied. These heaters may further be laminated with insulation and/or jacketed with metal, such as Inconel, steel, copper, iron or polymer to provide moisture protection. Metal outer jackets may be welded at the ends to make air-tight seals.

15 The modular heater 10 is preferably manufactured in standard lengths which can be joined together end to end, perhaps with standard connector fittings. The modular heaters 10 are extremely flexible and can be fabricated to wrap easily around a pipe of  $\frac{1}{2}$  inch diameter, while providing very uniform heat to the pipe.

20 For wrapping pipe fittings such as valves, T's and flanges, special modular sections can be designed. FIG. 3 shows one such modular valve heater 40 about to be installed on a pipe valve. The valve heater 40 may be designed in a generally "U" shape, which slides on with the slot 41 of the U surrounding the valve handle. The side wings 42, 44, then wrap around and connect on the  
25 bottom of the pipe or valve. The side wings may contain connectors 46, 48 by which the module 40 can be electrically connected to a first 50 and /or second linear module 52. The first linear module 50 may have been trimmed to correct length to reach the valve and a connector 54 installed on the trimmed end to

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allow easy connection with the valve module 40, as the second linear module 52 may have been trimmed as well. It is also possible that the valve module 40 can be connected directly to a power supply, independently of the other modules.

An advantage of the present invention is that since the heating element is woven back and forth within a relatively large surface area, a large amount of wattage/ foot can be generated compared to more traditional heating cable such as Mineral Insulated (MI) cable. Prior art modular heaters such as in U.S. Patent No. 4,638,150 to Whitney use rigid heating modules which are far too inflexible to be of use in wrapping pipes effectively. Although there may be some flexibility in the wires which connect these rigid modules of Whitney together, the overall structure is not very flexible. In contrast, the present invention is flexible in both the longitudinal and transverse planes. The modules are well designed for field installations, and repairs are simple, since a damaged module can be disconnected and a new one easily installed.

Of course, there are many applications in which the modular heaters 10 may be used as a flat sheet, and they are obviously not limited to applications where they are wrapped around a pipe.

The modular design disclosed above can also be utilized for lower temperature applications such as preventing water pipes from freezing in the winter. In such cases, much lower voltages of 24 volts or less can be used and a variety of heater wire materials can be used, including polymers having a positive temperature coefficient (PTC). Such PTC materials can act as self-limiting heaters since PTC materials increase their resistivity with temperature. Thus as the temperature increases, the resistance of the heater increases and current flow is decreased until an equilibrium temperature is reached. Low voltage heaters are especially useful in situations in which hazardous or volatile materials are present, such as "zone 0" and "zone 1" areas.

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In addition to PTC materials, which have a positive temperature coefficient, there are materials which have a negative temperature coefficient (NTC) and no response at all, known as zero temperature coefficient (ZTC) materials. PTC, NTC, and ZTC materials are commonly based on semi-crystalline polymers like Polyethylene (PE), Polypropylene (PP), Polyvinyl chloride (PVC), fluoropolymers and fluoroelastomers, rubbers, silicones, and other recoverable elastic polymeric materials suitable to incorporate fillers to render them conductive and also processable into forms such as cables, strips, etc. Manufacturing is done by convention methods of extrusion, molding, laminating and other coating methods known in the polymer processing industry.

Polymer PTC materials are especially useful for such applications as wrapping pipes, because they are much more flexible than in previously available rigid modules. Additionally, PTC material which has been formed into coaxial cable, such as will be described below, can be used as a heating element by weaving it back and forth within an area, in the same manner as the high temperature heater wire 16, described above.

Thus, a second preferred embodiment is a self-regulating modular heater which uses polymer PTC material to act as heating elements which are connected in parallel across bus wires. As before, the modular sections are connectable to create cables of any length and each module can be trimmed to length at any boundary of a heating zone. The PTC heater is serpentine on a substrate which is preferably a flexible insulator, such as glass wool, foam, etc. or especially material commercially known as Astrofoil or Reflectex, which is an insulator with a reflective surface on both the inside and outside of the insulation package. Astrofoil is desirable because it reduces radiative heat loss, and it can provide a good thermal and moisture barrier. The heater is held in place on the substrate by tape or ties. This is then laminated with aluminum,

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aluminum/mylar or any other conductive/insulative composite layer or layers.

These modular heaters can be used for heating pipes, etc. as discussed above, and can also be used to warm mattresses, trauma blankets and used in medical applications. The devices can be designed for low power uses, 12 5 volts, etc., where the power can be supplied by batteries. Thus they are very useful for emergency devices or camping applications, where line voltage is not available.

A third preferred embodiment of the present invention is a heater device 10 using PTC which has been laminated onto an etched foil layer to form a heater strip 60. Using this device, power levels of  $\frac{1}{4}$  to 2 watts/inch<sup>2</sup> or higher can be generated, producing temperatures in the range of 110° F- 180° F or higher. A typical section of heater strip using etched foil is shown in FIGS. 4 & 5. There is preferably a top insulating layer, which has been removed for ease of viewing 15 in these two figures. In some respects the heater strip is similar in structure to the modular heaters described above, and indeed it is possible to configure the PTC strips into discrete zones, as before. However the embodiment pictured has the PTC elements in continuous stripes, which extend the length of the heater. These can be cut to length as before, but without the limitation that the 20 zone boundaries are the preferred areas for cutting. There are again two supply bus wires 12, 14 with a number of PTC heating elements 62 connected in series between the bus wires 12, 14. The PTC elements 62 rest on a substrate 64 which is covered by an foil layer 66 which has been etched so that conductive pathways 68 are left to connect the PTC elements 62 in series. An optional 25 feature which has been incorporated into this preferred embodiment is a coating of negative temperature coefficient (NTC) material 70. NTC material maintains high resistance until a certain temperature range is reached, at which point, the resistance rapidly decreases. This NTC coating can thus be used as a safety

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shunt to divert current if hot spots occur in the heating module elements. It is also possible to use material having no temperature coefficient response, but will act as a shunt merely by presenting a parallel current path of lesser resistance if the temperature and the resistance of the PTC layer is too high.

- 5 FIG. 5 shows a cross-section of the heater module taken through line 5-5 of Fig. 4.

As mentioned above, the heater strips 60 can be configured into modules, and it is again possible to connect modules together, and to cut the modules 60 at any length. The substrate 64 can be insulated PTC tape as well as other, 10 conventional materials. An advantage of the present invention is that it can be used at high voltages such as 240 volts and 480 volts, since the elements connected in the series string divide the voltage, so that, for example, each of 5 elements shown would drop 48 volts or 96 volts, respectively. It is of course possible to have single units prepared on smaller etched foil sections which are 15 independent units. It will be obvious to one skilled in the art that other electronic components can be incorporated into the etched foil design, and all of these are contemplated by the present invention.

FIG. 6 illustrates a fourth preferred embodiment of the present invention, 20 which is a coaxial heater cable, which will be designated by the reference character 100. This embodiment is a self-regulating heating cable which has one, or preferably two layers of polymeric PTC material concentrically layered between a central electrode wire and an outer electrode wire which is preferably in the form of a stranded ground sheath. This configuration resembles a 25 standard coaxial cable, but the PTC layers actually act as an extended resistor circuit in parallel with the two electrodes. It has advantages in providing very rapid response time to achieve an equilibrium state, and can operate at very low voltages. It is also very easy to detect shorts in the wires by linear resistance

analysis. It too can be easily cut to length to suit the application, or as in the modular embodiments, lengths can be joined together to make a composite length, much in the way that extension cords can be connected together. An additional advantage of the present invention is that by having a circular cross-section, the overall bulk of the cable connector system is reduced compared to cables which have an elliptical or rectangular cross-section.

The central electrode 102 can be a unitary wire, or preferably a 16 AWG nickel-copper stranded bus wire, although any gage is possible, which is surrounded by a first layer 104 of semi-conductive positive temperature coefficient (PTC) material, possibly formed by extrusion. This is surrounded by 10 a second layer 106 of high temperature polymer, preferably PTC or negative temperature coefficient (NTC) material, or even conventional zero-temperature coefficient (ZTC) material, which itself is surrounded by the second electrode 108, which is preferably 16 AWG equivalent nickel-copper braid. The whole is 15 surrounded by a fluoropolymer or any other appropriate outer insulation 110. Once again, no attempt has been made to portray the relative thicknesses of the layers in proper size relation to each other. The layers 104, 106 may also have an optional conductive layer (not shown) which assures good electrical contact between the first layer 104 and the second layer 106, and between the second 20 layer 106 and the outer electrode 108.

For some applications, an additional ground braid and final insulation layer may be added so that the cable is triaxial in nature. In such a triaxial configuration, it is possible to have the first layer 104 of PTC material between the inner 102 and outer 108 electrodes as before, with the second layer 106 now 25 positioned between the outer electrode 108 and the new ground braid (not shown), with the outer insulation 110 surrounding all. It is also possible that the ground wire is not in the form of a braided wire, but instead is a wrapped wire, of a form which is well known in the art, but which is used in this novel way in

the present invention.

This coaxial heater cable **100** is also very well suited for low voltage operations, such as 12 or 24 volts, such as are found in camping equipment, etc. The power to these systems can be provided by batteries or similar power supplies.

Some prior art cable heaters have been configured with two electrode wires side by side with PTC material between them so that the entire cross-sectional is lozenge-shaped or oval. Such a configuration limits flexibility in the direction of the larger cross-sectional dimension. A circular configuration allows for good flexibility in all directions. The circular cross-section makes stripping wires easy by conventional wire strippers which may not be useable with oval cross-sectioned prior art heater wires. A circular construction also provides more uniform heat production and distribution. Among prior art heater cables which have been configured with a circular cross-section, most have had the outer electrode helically wrapped about the PTC layer. This can lead to inconsistencies which produce localized variations in heating along the length, and instabilities in performance.

An additional advantage has been found in the use of two layers of PTC materials, which, if chosen correctly, can allow generally the same power output at different supply voltages. Typically, to generate adequate power levels in heater wires where a single layer of small thickness is used, the resistivity of the layer has to be very high, in the range of several meg-ohms per centimeter. However, the present invention **100** uses 2 thin layers having resistivity of around 150,000 ohms per centimeter. The two layers **104**, **106** can be modeled as two resistors in series forming a voltage divider between the inner **102** and outer **108** electrodes. The power generated in each resistor (layer) is equal to the square of the voltage divided by the resistance,  $P=V^2/R$ . By having a very

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thin first layer, the current flowing through a given volume (current density) of PTC material is high, compared to the current density in a thicker layer, or an outer layer of equal thickness. This current density causes a rise in temperature that causes the resistance of the material to rapidly increase (see the chart of 5 Resistance vs. Temperature, FIG. 7). The material composition is chosen so that for the expected voltage range, the material will behave in the right-hand region of the curve in which the resistance is increasing exponentially, in fact much faster than the voltage squared factor in the power equation. Thus as the 10 resistance of the first resistor (layer) shoots up exponentially, the proportional voltage across it increases, but not as fast as the resistance. The power thus increases very little. The second layer is also heated, but has less current density, and thus increases resistance to a lesser degree. The first layer of course also heats the second, and eventually (actually, in fractions of a second) comes to an equilibrium.

15        The same sort of equilibrium process takes place if single layer is used, except that if a unitary layer of PTC material of a thickness equivalent to the combined thickness of both layers in the present invention is used, the current density will be much less. The material will tend to act more in the left-hand region of the curve of Fig. 7, where increase in resistance may not outpace 20 increase in voltage, thus the power consumed will be higher. This change in power consumption may be undesirable when dealing with different power supplies.

25        A practical application of this is in the use of heater cables with power supplies in the range of 12 to 240 volts. Currently, heater cables using a single layer of material must be designed differently to work with 120 volt line voltages, rather than with 240 volt supplies, as each must be rated for different ranges of power usage.

In contrast, the present invention 100 may be used with 12 volt, 120 volt

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and 240 volt power supplies with proper selection of PTC layer resistance, since as the resistance of the first layer 104 operates in a higher range in the exponential curve, the power used lies in the same power rating range. Thus one product can take the place of two.

5 As referred to before, the second layer 106 can be made of NTC material or material which has no temperature coefficient (ZTC), in which case the power consumption characteristics of the cable are further variable. One advantage of such a combination is that when the resistance of the NTC or ZTC layer is high with respect to the PTC layer the overall resistance of the circuit is  
10 high which limits the initial current first rushing into the circuit. Therefore circuit breakers used with such a circuit can be smaller in rating.

The cables may be fabricated by a variety of processes. The layers can be extruded, or could be applied by dipping the wires or spraying coatings to form the layers.

15 These coaxial heater cables have many uses. They have industrial uses to protect pipes, both over and underground, water lines, and vessels from freezing, as well as warming flooring, drains, overflow pans, and maintaining temperatures for hot water and steam pipes. They can additionally be used for de-icing roofs and gutters. They may also be used to maintain pipe  
20 temperatures where the temperature of materials need to be maintained in a certain range so that their viscosity and flow characteristics are maintained.

A fifth preferred embodiment of the present invention is a resettable fuse utilizing one or more PTC, NTC or ZTC elements. If PTC elements are to be  
25 used, the elements are placed in series in the circuit to be protected. Then, as current rises, the temperature rises, causing the resistance to rise to the point where the element acts as an open in the circuit, and power is shut off. After the temperature cools down, the resistance also reduces, and the fuse "resets" to

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allow operation again. If NTC material is used, the element is placed in parallel with the circuit, so that as the element heats, resistance lowers and current is shunted around the circuit, thus shutting it off.

The presently preferred embodiment of this type of fuse is a single layer 5 of PTC or NTC material deposited on a substrate such as etched foil or insulation with electrical contacts implanted. One great advantage of the resettable fuse is that since it is reusable, it does not need to be replaced after having been triggered. It can thus be built into the circuit as an integral element, and can be physically located in generally inaccessible areas on PC boards, 10 etched foil circuits and even buried cables. Another advantage of the present invention is that because the PTC layers are relatively thin, they heat very rapidly. The response time of the element can thus be very fast, as short as a few thousandths of a second.

In operating electronic equipment or circuits, voltage spikes are common. 15 Just as current spikes are introduced through the normal operation of machinery and materials, voltage spikes are also introduced and need to be controlled. Certain devices or circuits may need protection from both current and voltage spikes. A PTC device when put in series with a device, acts as a thermally activated fuse when high current passes through it. Although the response time 20 is fairly quick, it may be too slow to react to transient voltage spikes. New materials which are sensitive to changes in voltage, voltage sensitive materials (VSM) can act very quickly in comparison, in the nano-second range, and thus can provide voltage spike protection, where a PTC device alone may not. Thus it may be desirable to include both kinds of protection for a device or circuit 25 using both PTC and VSM elements. VSM materials are generally produced by introducing metal oxide material, such as aluminum oxide or zinc oxide into polymer materials such as are used in PTC materials.

Thus, referring to FIG. 8, another protective application of the resettable

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fuse element 120 can be where a VSM element 124 is placed in parallel with a PTC element 122, which is in series with the circuit to be protected 126. The PTC element 122 will protect the circuit 126 from current which is too high.

5      The resistance of the VSM 124 breaks down when a voltage limit is reached, and then acts as a shunt, cutting off current to both the PTC element 122 and the circuit to be protected 126. Being in parallel to the circuit, it will not interfere with normal operation of the circuit, and normally has such high resistance that it acts as an open circuit. A similar configuration was discussed above concerning the use of NTC material as a shunt, but again, since the response of

10     an NTC device is based on thermal response, the response time will be very much slower than that achieved by the VSM material.

VSM can also be made from polymers made conductive by the addition of metals such as aluminum, zinc, etc. VSM elements can be made very thin, a few thousandths of an inch thick, and can be designed for any voltage and resistance range. VSM devices also act as "resettable switches". As with PTC materials, several methods of fabrication are possible. If made with a polymer base, the material can be extruded, extrusion coated, or solvent coated, or made into a paste for coating on a chip. It is also possible to include both PTC and VSM material on the same chip, in layers, or through deposits controlled by

15     masks. Both types of elements are especially useful in such configurations because they are easily included on the same substrate as the circuit to be protected, and can be integrally formed during PC board fabrication, since they will never have to be removed or replaced.

25       In addition to the above mentioned examples, various other modifications and alterations of the inventive devices disclosed above may be made without departing from the invention.

## INDUSTRIAL APPLICABILITY

The modular heaters and resettable fuses of the present invention are well suited for use in a variety of industrial, manufacturing and domestic applications.

There are many applications in which it is desirable to wrap irregular objects, such as pipeline valves with heating devices. Many of these applications also require much flexibility in the amount and shape of the heater material used. For this reason, it is highly desirable that self-regulating heaters be modular in design, so that specific lengths of heater material may be joined together to make greater lengths, and also desirable that the lengths be capable of being trimmed to shorter lengths, without of course losing power or heating capacity.

There are many applications in which materials must be maintained at high-temperature in the range of 500-600 degrees F. Such applications include maintaining asphalt and sulfur in a liquid state. If these materials can be kept in a molten state, they can be made to flow through pipes, thus easily conveying them to a site of usage. A difficulty encountered when piping these materials, however, is the heat loss experienced when the material is forced to flow through unheated pipes. Heat loss can be great from these pipes, causing the material to solidify and block material flow.

The first embodiment of the present invention **10** provides high temperature heaters which are very flexible, which can be manufactured in a series of modules **30** which can be connected together to cover nearly any length of pipe, and which can also be trimmed to length and the trimmed end sealed to further accommodate any intermediate length of pipe. For wrapping pipe fittings such as valves, T's and flanges, special modular sections can be designed. A type of the first embodiment of the present invention is a modular

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valve heater 40 which can be installed on a pipe valve. The valve heater 40 may be designed in a generally "U" shape, which slides on with the slot 41 of the U surrounding the valve handle. The side wings 42, 44, then wrap around and connect on the bottom of the pipe or valve. The modular design disclosed above 5 can also be utilized for lower temperature applications such as preventing water pipes from freezing in the winter. In such cases, much lower voltages of 24 volts or less can be used and a variety of heater wire materials can be used, including polymers having a positive temperature coefficient (PTC). Such PTC materials can act as self-limiting heaters since and PTC materials increase their 10 resistivity with temperature. Thus as the temperature increases, the resistance of the heater increases and current flow is decreased until an equilibrium temperature is reached. Low voltage heaters are especially useful in situations in which hazardous or volatile materials are present, such as "zone 0" and "zone 1" areas.

15 Polymer PTC materials are especially useful for such applications as wrapping pipes, because they are much more flexible than in previously available rigid modules. Additionally, PTC material which has been formed into coaxial cable, can be used as a heating element by weaving it back and forth within an area.

20 Thus, a second preferred embodiment 40 is a self-regulating modular heater which uses polymer PTC material to act as heating elements which are connected in parallel across bus wires. As before, the modular sections are connectable to create cables of any length and each module can be trimmed to length at any boundary of a heating zone. The PTC heater is serpentine on a 25 substrate which is preferably a flexible insulator, such as glass wool, foam, etc. or especially material commercially known as Astrofoil or Reflectex, which is an insulator with a reflective surface on both the inside and outside of the insulation package. Astrofoil is desirable because it reduces radiative heat loss,

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and it can provide a good thermal and moisture barrier. The heater is held in place on the substrate by tape or ties. This is then laminated with aluminum, aluminum/mylar or any other conductive/insulative composite layer or layers.

These modular heaters can be used for heating pipes, etc. as discussed  
5 above, and can also be used to warm mattresses, trauma blankets and used in medical applications. The devices can be designed for low power uses, 12 volts, etc., where the power can be supplied by batteries. Thus they are very useful for emergency devices or camping applications, where line voltage is not available.

10 A third preferred embodiment of the present invention is a heater device using PTC which has been laminated onto an etched foil layer to form a heater strip 60. Using this device, power levels of  $\frac{1}{4}$  to 2 watts/inch<sup>2</sup> or higher can be generated, producing temperatures in the range of 110° F- 180° F or higher.

15 A fourth preferred embodiment of the present invention is a coaxial heater cable 100. This embodiment is a self-regulating heating cable which has one, or preferably two layers of polymeric PTC material concentrically layered between a central electrode wire and an outer electrode wire which is preferably in the form of a stranded ground sheath. This configuration resembles a standard coaxial cable, but the PTC layers actually act as an extended resistor  
20 circuit in parallel with the two electrodes. It has advantages in providing very rapid response time to achieve an equilibrium state, and can operate at very low voltages. It is also very easy to detect shorts in the wires by linear resistance analysis. It too can be easily cut to length to suit the application.

A practical application of this is in the use of heater cables with 120 and  
25 240 volt power supplies. Currently, heater cables using a single layer of material must be designed differently to work with 120 volt line voltages, rather than with 240 volt supplies, as each must be rated for different ranges of power usage.

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In contrast, the present invention **100** may be used with both 120 and 240 volt power supplies, and thus one product can take the place of two.

These coaxial heater cables have many uses. They have industrial uses to protect pipes, both over and underground, water lines, and vessels from 5 freezing, as well as warming flooring, drains, overflow pans, and maintaining temperatures for hot water and steam pipes. They can additionally be used for de-icing roofs and gutters. They may also be used to maintain pipe temperatures where the temperature of materials need to be maintained in a certain range so that their viscosity and flow characteristics are maintained

10 A fifth preferred embodiment of the present invention is a resettable fuse **120** utilizing one or more PTC, NTC or ZTC elements **122** in conjunction with voltage sensitive materials (VSM) **124**. In operating electronic equipment or circuits, voltage spikes are common. Just as current spikes are introduced through the normal operation of machinery and materials, voltage spikes are 15 also introduced and need to be controlled. Certain devices or circuits may need protection from both current and voltage spikes. A PTC element **122** when put in series with a device, acts as a thermally activated fuse when high current passes through it. Although the response time is fairly quick, it may be too slow to react to transient voltage spikes. New materials which are sensitive to 20 changes in voltage, voltage sensitive materials (VSM) can act very quickly in comparison, in the nano-second range, and thus can provide voltage spike protection, where a PTC device alone may not.

Thus another protective application of the resettable fuse element can be where a VSM element **124** is placed in parallel with a PTC element **122**, which 25 is in series with the circuit to be protected **126**. The PTC element **122** will protect the circuit **126** from current which is too high. The resistance of the VSM **124** breaks down when a voltage limit is reached, and then acts as a shunt, cutting off current to both the PTC element **122** and the circuit to be protected

**126.**

Thus, there are a great variety of applications for the various embodiments of the present invention. For the above, and other, reasons, it is expected that the various modular heaters and resettable fuses of the present invention will have widespread industrial applicability. Therefore, it is expected that the commercial utility of the present invention will be extensive and long lasting.

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## IN THE CLAIMS

While various embodiments have been described above, it should be understood that they have been presented by way of example only, and not limitation. Thus, the breadth and scope of a preferred embodiment should not be limited by any of the above described exemplary embodiments, but should be defined only in accordance with the following claims and their equivalents.

What is claimed is:

- 1 1. A heating element comprising:
  - 2 a first bus wire;
  - 3 a second bus wire;
  - 4 a plurality of flexible heating wires which are connected between said
  - 5 first and second bus wires, and forming a plurality of parallel circuits between
  - 6 said first and second bus wires; and
  - 7 said flexible heating wires being contained within parallel zones, to make
  - 8 up modules, said modules being attachable and detachable at the boundary of
  - 9 any of these parallel zones, so that the overall length of the heating element may
  - 10 be varied thereby.
- 1 2. A heating element, as in Claim 1, wherein:
  - 2 said heating wires are composed of nickel-alloy.
- 1 3. A heating element, as in Claim 1, wherein:
  - 2 the gage of said heating wires is in the range of .001-.01 inches.
- 1 4. A heating element, as in Claim 1, wherein:
  - 2 said heating wires are positioned on a substrate chosen from the group
  - 3 consisting of mica, glass, glass wool, Astrofoil, Reflectex, aluminum and mylar.

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1    5.    A heating element, as in Claim 1, wherein:  
2                 said heating element further comprises a jacket.

1    6.    A heating element, as in Claim 5, wherein:  
2                 said jacket is composed of material chosen from the group consisting of  
3                 mica, glass, glass wool, Astrofoil, Reflectex, aluminum and mylar.

1    7.    A heating element, as in Claim 1, wherein:  
2                 said heating element is designed in a "U" shape in order to fit on a pipe  
3                 valve.

1    8.    A heating element, as in Claim 1, wherein:  
2                 said heating wire may be heated to temperatures of 1,200 degrees F.

1    9.    A heating element, as in Claim 1, wherein:  
2                 said heating element can wrap to surround a pipe of  $\frac{1}{2}$  inches in diameter.

1    10.    A heating element, as in Claim 1, wherein:  
2                 said heating element is self limiting.

1    11.    A heating element, as in Claim 10, wherein:  
2                 said heating wires are of polymer PTC material.

1    12.    A self-regulating heating element comprising:  
2                 a first electrode;  
3                 a second electrode;  
4                 a plurality of PTC heating elements; and  
5                 at least one conductive pathway which is interposed between two of said

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6 PTC heating elements, said PTC heating elements and said conductive pathways  
7 alternating, and forming a series circuit between said first and second  
8 electrodes.

1 13. A self-regulating heating element, as in Claim 12, wherein:  
2 said first and second electrodes act as bus wires, which extend the length  
3 of the heating element;  
4 said PTC heating elements and said conductive pathways are in the form  
5 of alternating strips which run physically parallel to said bus wires.

1 14. A self-regulating heating element, as in Claim 13, wherein:  
2 said PTC and said conductive pathway strips are configured into zones.

1 15. A self-regulating heating element, as in Claim 13, wherein:  
2 said PTC and said conductive pathway strips extend the length of the  
3 heating element.

1 16. A self-regulating heating element, as in Claim 12, wherein:  
2 said heating element is formed on a substrate.

1 17. A self-regulating heating element, as in Claim 12, wherein:  
2 said conductive pathways are formed from a foil layer which is etched.

1 18. A self-regulating heating element, as in Claim 12, wherein:  
2 said heating element includes a coating of NTC material.

1 19. A self-regulating heating element, as in Claim 12, wherein:  
2 said heating element includes a coating of ZTC material.

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- 1 20. A self-regulating heating element, as in Claim 12, wherein:  
2 said heating element is continuously variable in length.

- 1 21. A self regulating heating device comprising:  
2 a first electrode;  
3 a second electrode;  
4 a first layer of PTC material interposed between said first electrode and  
5 said second electrode; and  
6 a second layer of material interposed between said first electrode and said  
7 second electrode.

- 1 22. A self-regulating heating device as in claim 21, wherein:  
2 said second layer is also PTC material.

- 1 23. A self-regulating heating device as in claim 21, wherein:  
2 said second layer is NTC material.

- 1 24. A self-regulating heating device as in claim 21, wherein:  
2 said second layer is ZTC material.

- 1 25. A self-regulating heating device as in claim 21, wherein:  
2 said first and second layers and said second electrode are concentric with  
3 said first electrode.

- 1 26. A self-regulating heating device as in claim 21, wherein:  
2 said first electrode is a ground wire.

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- 1 27. A self-regulating heating device as in claim 21, wherein:  
2 said second electrode is a ground wire.
- 1 28. A self-regulating heating device as in claim 21, wherein:  
2 said second electrode is a braided wire.
- 1 29. A self-regulating heating device as in claim 21, wherein:  
2 said second electrode is a wrapped wire.
- 1 30. A self-regulating heating device as in claim 21, further comprising:  
2 an insulation layer.
- 1 31. A self-regulating heating device as in claim 21, further comprising:  
2 a third layer and a third electrode.
- 1 32. A self-regulating heating device as in claim 21, which is used for power  
2 supplies within the range of 12 Volts to 240 Volts.
- 1 33. A self-regulating heating device as in claim 21, which is continuously  
2 variable in length.
- 1 34. A self-regulating heating device as in claim 21, which is configured into  
2 modules, which are attachable and detachable so that the overall length of the  
3 heating device may be varied thereby.
- 1 35. A resettable fuse, which provides voltage spike protection for an element  
2 to be protected, comprising:  
3 a element of Voltage Sensitive Material which is in parallel with the

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4 element to be protected.

1 36. A resettable fuse as in claim 35, further comprising:  
2 at least one PCT which is in series with the element to be protected.

1 37. A resettable fuse as in claim 35, wherein:  
2 the element to be protected is a circuit board and said Voltage Sensitive  
3 Material and PCT materials are deposited on some portion of said circuit board.

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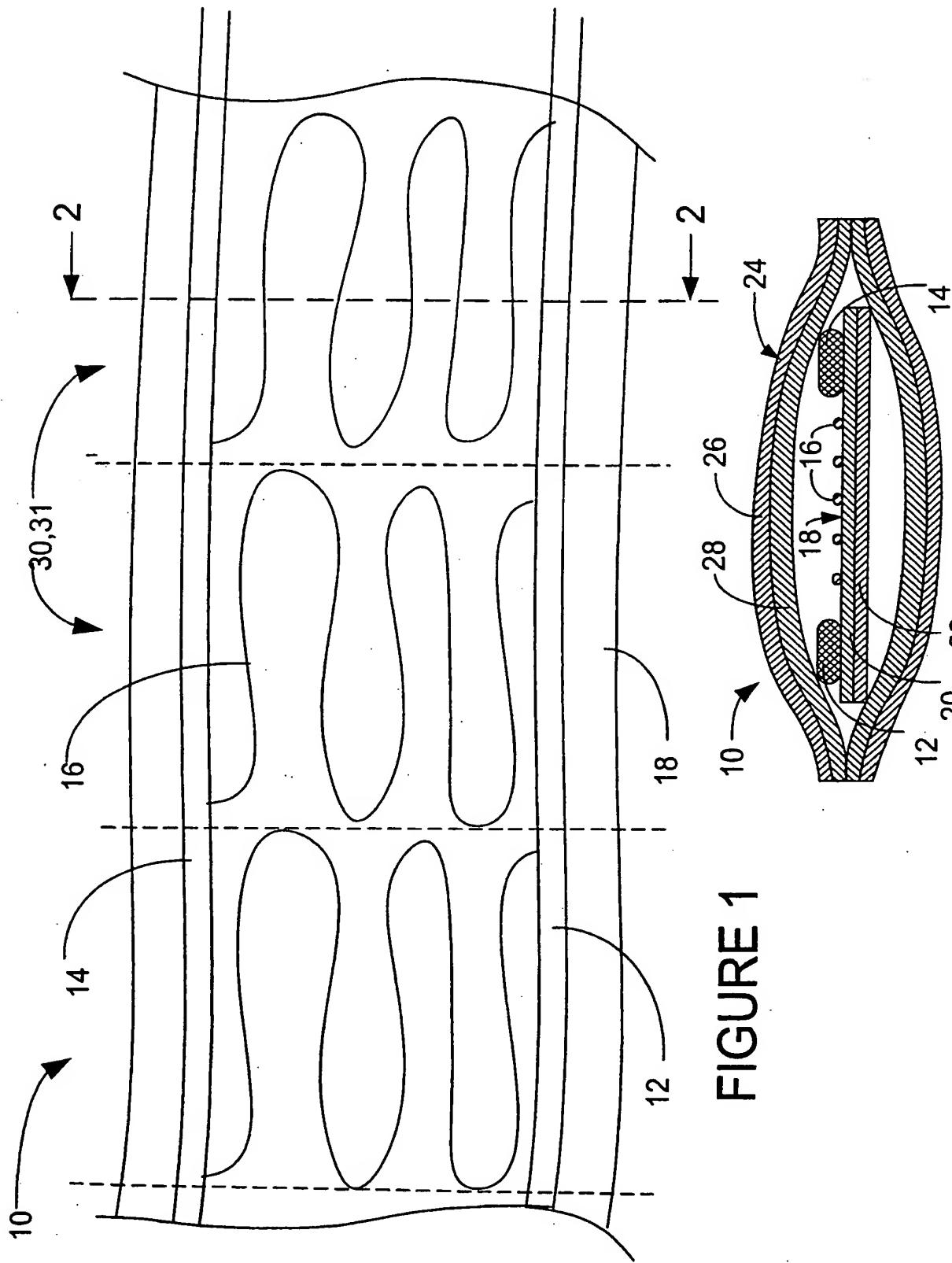


FIGURE 1

FIGURE 2

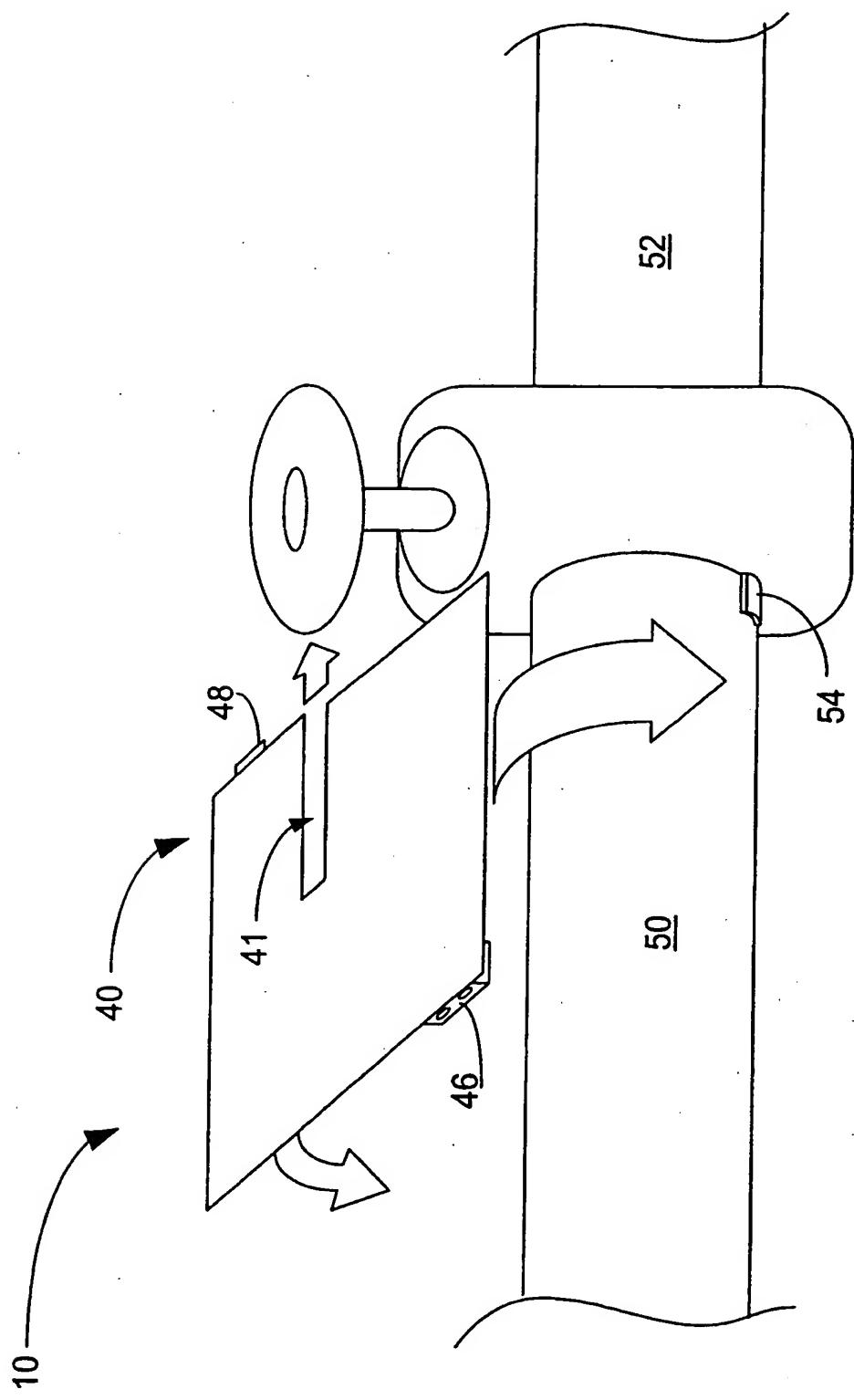
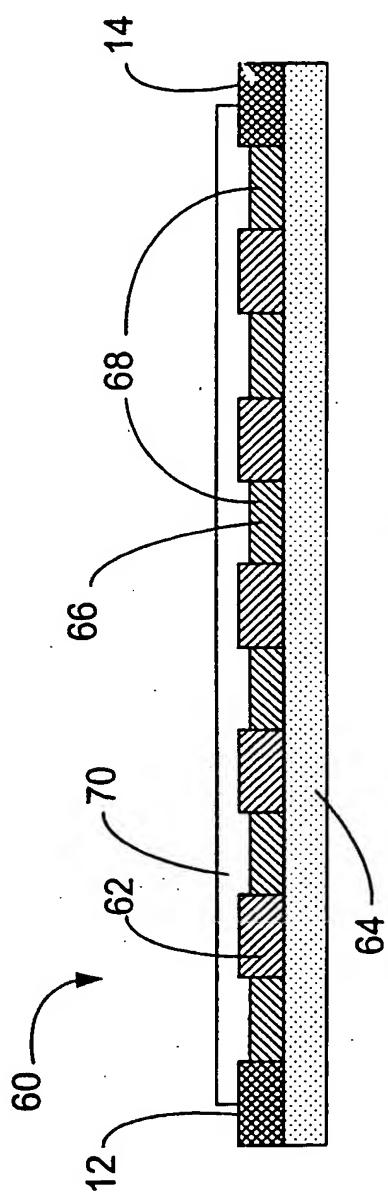
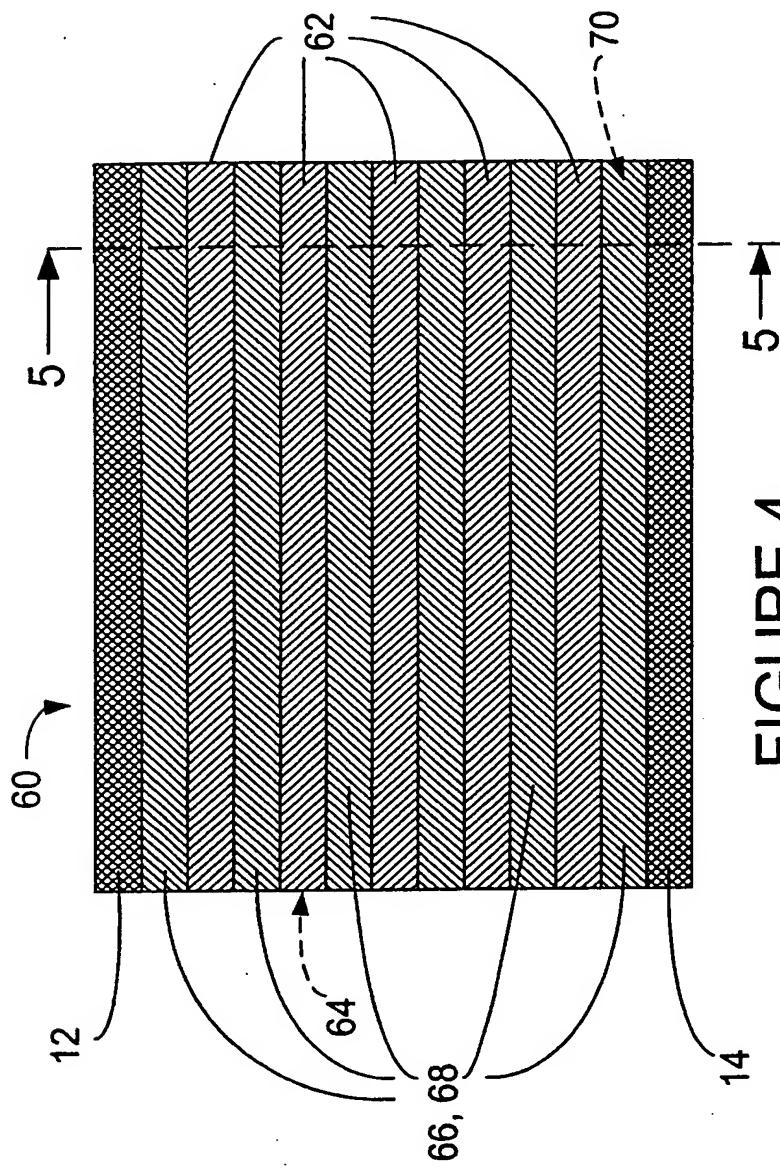


FIGURE 3

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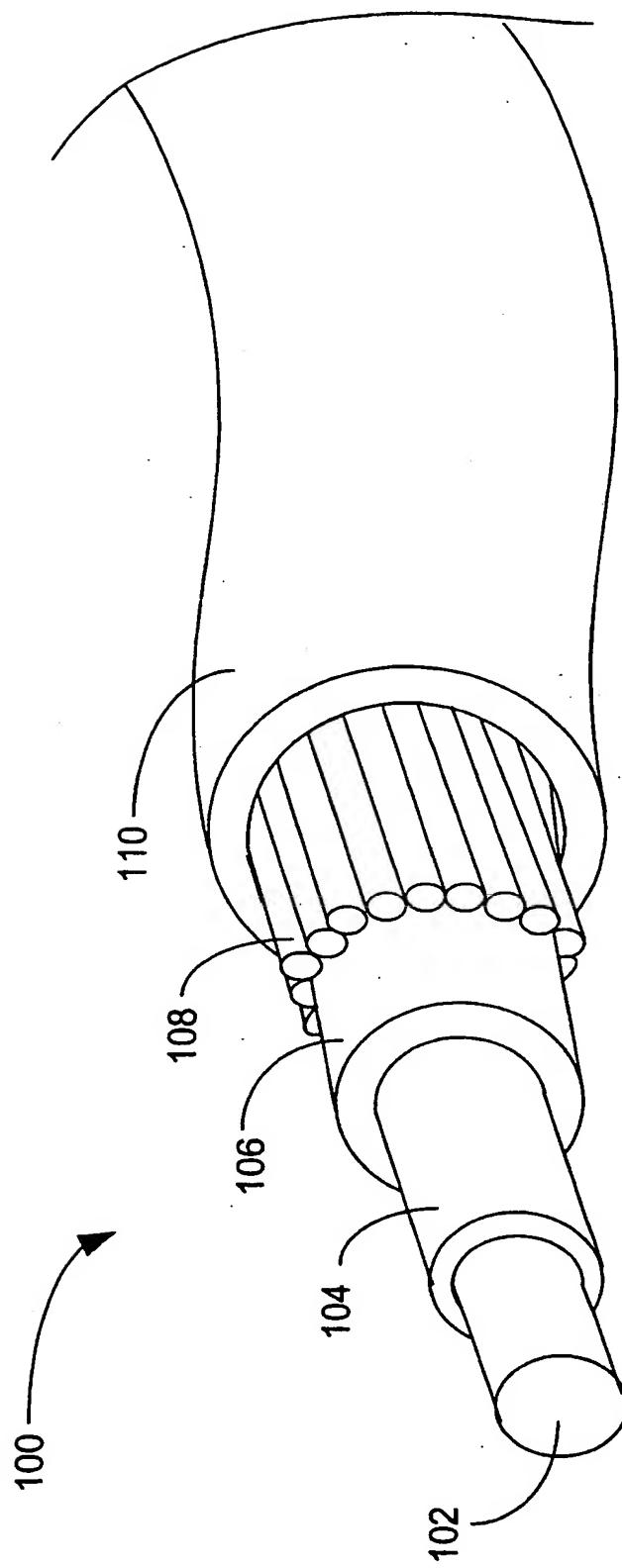
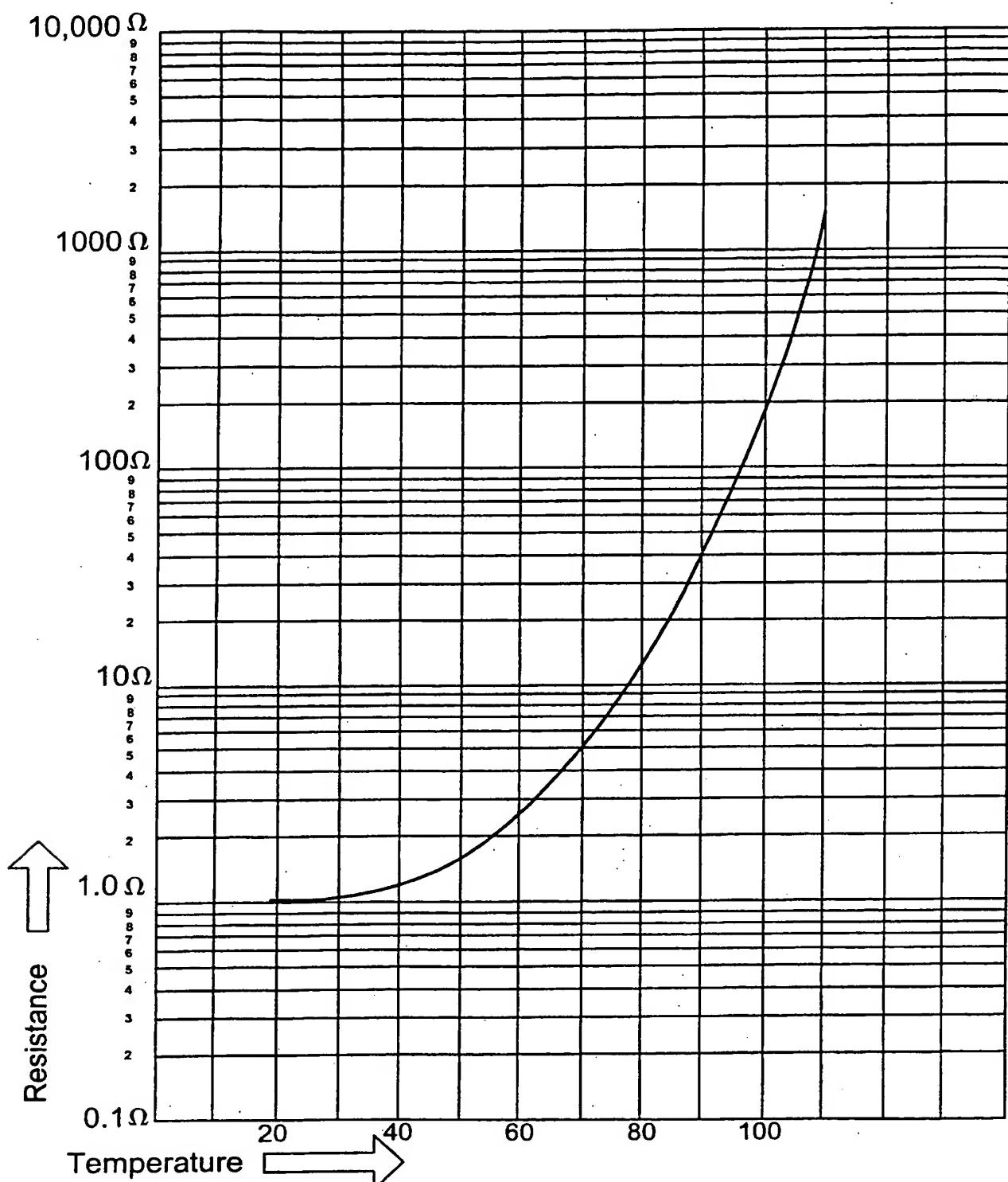


FIGURE 6

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PTC Heaters Resistance vs. Temperature

## FIGURE 7

SUBSTITUTE SHEET (RULE 26)

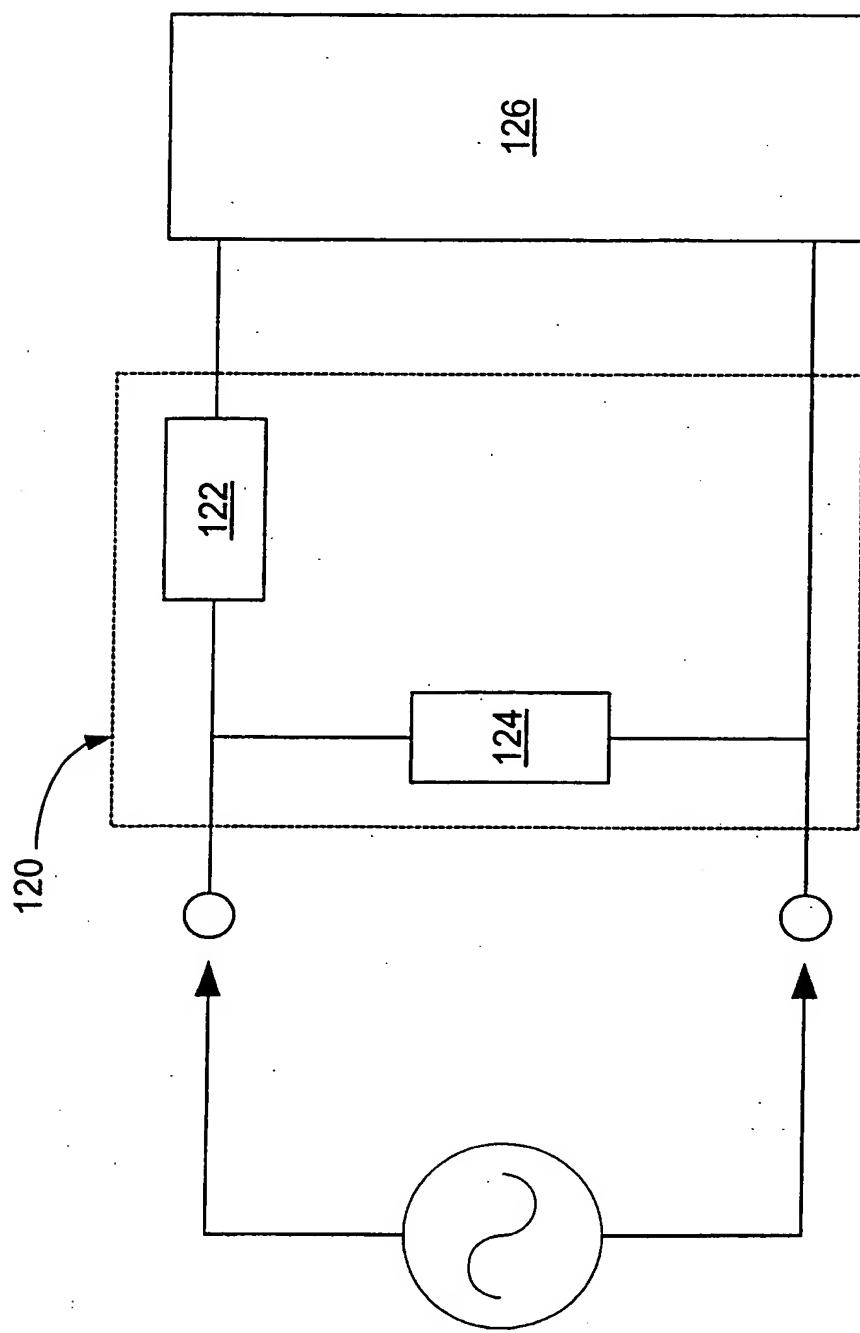


FIGURE 8

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/13164

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : H05B 1/02  
US CL : 219/504,505,537,549

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 219/504,505,537,549

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
338/22SC;  
219/539,494

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
EPO, APS, JAPANESE PATENT ABSTRACTS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| X         | US 4,582,983 A (MIDGLEY ET AL) 15 APRIL 1986, SEE ENTIRE DOCUMENT.                 | 1-37                  |
| A         | US 4,121,088 A (DOREMUS ET AL) 17 OCTOBER 1978, SEE ENTIRE DOCUMENT.               | 1-37                  |
| A         | US 4,255,649 A (FISHER) 10 MARCH 1981, SEE ENTIRE DOCUMENT.                        | 1-37                  |
| A         | US 4,967,057 A (BAYLESS ET AL) 30 OCTOBER 1990, SEE ENTIRE DOCUMENT.               | 1-37                  |

Further documents are listed in the continuation of Box C.  See patent family annex.

|   |     |  |
|---|-----|--|
| * Special categories of cited documents:  | "T" | later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  |
| * "A" document defining the general state of the art which is not considered to be of particular relevance  | "X" | document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone   |
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| * "P" document published prior to the international filing date but later than the priority date claimed  |     |  |

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|---|---|
| Date of the actual completion of the international search<br><br>30 AUGUST 2000   | Date of mailing of the international search report<br><br>22 SEP 2000                             |
| Name and mailing address of the ISA/US<br>Commissioner of Patents and Trademarks<br>Box PCT<br>Washington, D.C. 20231<br>Facsimile No. (703) 305-3230 | Authorized officer<br><br>MARK H. PASCHALL <i>Diane Smith Jr.</i><br>Telephone No. (703) 308-1642 |